Inferring and proving properties of functional programs by means of supercompilation

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Outline

1. Program analysis by transformation

- Problem definition
- 2. What is supercompilation
- 3. Supercompiler HOSC
- 4. Detecting equivalent expressions
 - Checking correctness of monads
- 5. Higher-level supercompilation
 - Detecting improvement lemmas
 - Examples of higher-level supercompilation
- 6. On the equivalence of abstract machines

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• Detecting improvement lemmas

Examples of higher-level supercompilation

6. On the equivalence of abstract machines

Problem of correctness

Errors are expensive:

- 1.1994. The Pentium FDIV bug (a bug in the Intel P5 Pentium floating point unit)
- 2.1996. Ariane 5 Flight 501. The rocket was destroyed by its automated self-destruct system
- 3. 1998. Huygens probe. Channel A data lost.



Checking correctness of programs

- Testing (unit testing): p(f(x1)),...,p(f(xn)).
- Formal verification: ∀ X P(f(X))
 Model checking
- Checking by transformation: p(f(X)) ==> p'(X) (not well-studied) From testing: p is code in the same language as program being tested From verification: <u>all cases</u> rather than several.

Many errors are detectable in models rather than in real programs. Example - Mars Pathfinder 1997 (incorrect synchronization of processes).

Analysis by transformation

p(X, f(X)) - a statement about program f (p is a *program* on *the same* language!) The statement is transformed: p(X, f(X)) => p'(X)

The transformed statement is analyzed mechanically.

```
p'(X) has the form True
 Verified. p' doesn't depend on X, so \forall X: p'(X).
```

p'(X) has the form ... False ... Possibly, an error is found: $\exists X: p'(X)$ (Further analysis is required) <u>An application:</u> Xu, Peyton Jones and Claessen. Static contract checking for Haskell. IN Proceedings of the 36th annual symposium on Principles of programming languages. 2009. (Contracts + symbolic execution at compile time)

Verification and supercompilation

Supercompilation is a program transformation technique capable of simplifying composition of function.

Successful application - <u>Nemytykh 2005, 2007</u>:

Verification of models of cache-coherent protocols coded in REFAL (Андрей Немытых). test(loop(actions)) => loop'(actions) loop' is analized

Errors were found in two published protocols.

Problem definition

Investigate facilities of program analysis by supercompilation in the higher-order call-by-name setting.

Haskell language (call-by-name) is chosen.

Functional

Algebraic specifications (contacts)

- Lazy evaluation
 - Infinite data structures
 - Process modeling
- Higher-order functions
 - Specs may contain universally quantified functions (secondorder logic)
 - Predicates as arguments of other predicates (higher-order logic)
- Statically typed
 - Reliable specifications

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Generalization criteria (whistle): homeomorphic embedding of configurations

g(y,y) ⊲ g(h(y),y)



Theorem (Kruskal, Higman) 1950-s. For **any infinite** sequence of expressions **e1, e2, … en …** there exist **i < j** such, that **ei** ⊲ **ej**

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Requirements

Logical properties

- Strict preserving of equivalence
- Higher-order fuctions (higher-order logic)
- Infinite data structures (modeling of infinite processes)

"Quality guarantee"

- Proof of correctness (can we trust, whether equivalence is really preserved?)
- Proof of termination (nice to have)
- Source code

Status of existing supercompilers



Supercompiler HOSC

- Correctness is proved
- Open source
- Web-interface

http://code.google.com/p/hosc/ http://hosc.appspot.com

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upercompilation Tas	ks Supercompiler ≃ Tasks ≃ Checker Mine Authors	Hel	p and source code Sign
upercompiler			
put code:			
lata Command = RM lata Num = Z S Num lata State = State Num	WH; ; Num Num Stop;		
lata Boolean – True lata List a – Nil Con	False; s a (List a);		
heck (start x comman	ds) where		
$tart = \x commands$	-> run x commands;		
un = \s cs -> case cs Nil -> s; Cons c vs ->	of { - run (exec s c) vs;};		
heck = \state -> case state i d v -> case d o S d1 -> case d1 of { S d2 -> False;	e state of { f {		
Z -> case v of {S x - }; Z -> True; ;	> False; Z -> True;);		
Stop -> True;			
xec = \command sta Stop -> Stop; State i d v -> case co RM -> case i of { Z -> Stop; S v => State (+ v d	te −> case state of { mmand of { 17 (5 v):		
<pre>}; WH -> case i of { Z -> case v of { Z -> Stop; S x -> State (+ (+</pre>	i d) x) (S Z) Z;		
<pre>}: S x -> case v of { Z -> State (+ (+ i S y -> State (+ (+ i)));</pre>	d) ν) (S Z) Z; i d) γ) (S Z) Z;		
):):			
Supercompile			

	Equivalent	Higher-order	Infinite data	Proof of	Proof of	Source
	transformation	functions	structures	correctness	termination	code
	S					
Supercompiler						
HOSC (Klyuchnikov)			-	-		+
2000						

Klyuchnikov I.G. Supercompiler HOSC 1.0: under the hood KIAM Preprint Nº 63, Moscow, 2009.

Encountered problems

Higher-order functions:

- Bound variables
- Program may loop without explicit recursion
- What is equivalence?

Goal is analysis rather than optimization

Sometimes it is useful to "degrade" the program (in terms of performance)

What had to be done?

- Extended homeomorphic embedding taking into account bound variables
- Extended generalization algorithm
- Preventing infinite reduction
- Decreasing arity (lambda-dropping)
- Folding of any configurations is allowed

Extended homeomorphic embedding

Distinguishing bound variables

 $x y z \rightarrow Cons x (Cons y z) x y z \rightarrow Cons z (Cons y x)$



Extended homeomorphic embedding

Classical embedding

Extended embedding

 $e' \trianglelefteq e'' \mid_{\rho}$ if $e' \trianglelefteq_{v} e'' \mid_{\rho}$ or $e' \trianglelefteq_{d} e'' \mid_{\rho}$ or $e' \trianglelefteq_{c} e'' \mid_{\rho}$

Variables

 $\begin{array}{ll} f \leq v f \mid_{\rho} \\ v_{1} \leq v v_{2} \mid_{\rho} & \text{if } (v_{1}, v_{2}) \in \rho \\ v_{1} \leq v v_{2} \mid_{\rho} & \text{if } v_{1} \notin domain(\rho) \text{ and } v_{2} \notin range(\rho) \end{array}$

Diving

$$(\rho) \qquad e \leq fv(e) : v \notin aomain(\rho) \\ e \leq_d c e_i \mid_{\rho} & \text{if } e \leq e_i \mid_{\rho} \text{ for some } i \\ e \leq_d \lambda v_0 \to e_0 \mid_{\rho} & \text{if } e \leq e_0 \mid_{\rho \cup \{(\bullet, v_0)\}} \\ e \leq_d \overline{e_i} \mid_{\rho} & \text{if } e \leq e_i \mid_{\rho} \text{ for some } i \\ e \leq_d case \ e_0 \ of \ \{\overline{c_i \ \overline{v_{ik}} \to e_i;}\} \mid_{\rho} & \text{if } e \leq e_0 \mid_{\rho} \\ e \leq_d case \ e_0 \ of \ \{\overline{c_i \ \overline{v_{ik}} \to e_i;}\} \mid_{\rho} & \text{if } e \leq e_i \mid_{\rho \cup \{(\bullet, v_{ik})\}} \text{ for some } i \\ e \leq_d case \ e_0 \ of \ \{\overline{c_i \ \overline{v_{ik}} \to e_i;}\} \mid_{\rho} & \text{if } e \leq e_i \mid_{\rho \cup \{(\bullet, v_{ik})\}} \text{ for some } i \\ e \leq_d case \ e_0 \ of \ \{\overline{c_i \ \overline{v_{ik}} \to e_i;}\} \mid_{\rho} & \text{if } e \leq e_i \mid_{\rho \cup \{(\bullet, v_{ik})\}} \text{ for some } i \\ e \leq_d case \ e_i \ e_i \in [0, 1], \\ e \leq_d case \ e_i \in [0, 1], \\ e \leq$$

Vere for (a) and down air (a)

Coupling

$$\begin{array}{ll} c \ \overline{e'_i} \ \trianglelefteq_c \ c \ \overline{e''_i} \ |_{\rho} & \text{if } \forall i : e'_i \ \trianglelefteq \ e''_i \ |_{\rho} \\ \lambda v_1 \to e_1 \ \trianglelefteq_c \ \lambda v_2 \to e_2 \ |_{\rho} & \text{if } e_1 \ \trianglelefteq \ e_1 \ |_{\rho \cup \{(v_1, v_2)\}} \\ e' \ \overline{e'_i} \ \trianglelefteq_c \ e'' \ \overline{e''_i} \ |_{\rho} & \text{if } e' \ \trianglelefteq \ e''_i \ |_{\rho} \\ case \ e' \ of \ \{\overline{c_i \ \overline{v'_{ik}} \to e'_i};\} \ \trianglelefteq_c \ case \ e'' \ of \ \{\overline{c_i \ \overline{v''_{ik}} \to e'_i};\} \ |_{\rho} \\ & \text{if } e' \ \trianglelefteq \ e''_i \ |_{\rho} \ \text{and} \ \forall i : e'_i \ \trianglelefteq \ e''_i \ |_{\rho} \\ & \text{if } e' \ \trianglelefteq \ e''_i \ |_{\rho} \ \text{and} \ \forall i : e'_i \ \trianglelefteq \ e''_i \ |_{\rho} \\ & \text{if } e' \ \trianglelefteq \ e''_i \ |_{\rho} \ \text{and} \ \forall i : e'_i \ \trianglelefteq \ e''_i \ |_{\rho\cup\{\overline{(v'_{ik}, v''_{ik})}\}} \end{array}$$

Extended embedding is well-quasiorder

Theorem (Kruskal, Higman). For any infinite sequence of expressions e1, e2, ... en, .. there are i<j, such that ei \triangleleft ej

Extended whistle doesn't blow for ANY sequence!

Theorem (Klyuchnikov). For <u>any infinite sequence</u> of expressions e1, e2, ... en, ..., <u>located at a branch of partial process tree t</u>, there are i<j, such that ei \triangleleft^* ej

Proof of terminations

Encountered problems?

- Infinite set of bound variables
- Unbounded arity of application
- Termination depends on typing!
- We need to fold not only con<f> configurations

Klyuchnikov I.G. Supercompiler HOSC 1.1: proof of termination. <u>KIAM Preprint</u> <u>№ 21</u>, Moscow, 2010.

Proof of termination

Counter-example was found:

```
data D = F (D -> D);
fix h where
fix = \f -> apply
    (F (\x -> f (apply x x)))
        (F (\x -> f (apply x x)));
        (F (\x -> f (apply x x)));
```

If folding of configurations in the form con<f> only is allowed then supercompilation will terminate because of calls to apply

Proof of termination

Let us inline apply into a definition of fix

```
data D = F (D -> D);
fix h where
fix = \f -> (\y -> case y of { F g -> g; })
    (F (\x -> f ((\y -> case y of { F g -> g; }) x x)))
    (F (\x -> f ((\y -> case y of { F g -> g; }) x x)));
```

No termination anymore! Supercompiler HOSC 1.0 doesn't terminate Supercompilers Supero и Supero 2010 do not terminate as well! http://community.haskell.org/~ndm/temp/ Discussion in Google Groups

Proof of termination

Let us inline apply into a definition of fix

```
data D = F (D -> D);
fix h where
fix = \f -> (\y -> case y of { F g -> g; })
    (F (\x -> f ((\y -> case y of { F g -> g; }) x x)))
    (F (\x -> f ((\y -> case y of { F g -> g; }) x x)));
```

HOSC 1.1 supercompiles it into:

letrec g = h g in g

Correctness

What is equivalence?

In the first-order setting equivalence of programs reduces to the equality of results (data) f = g, if $\forall x: f(x) = g(x)$.

In the higher-order setting f(x) may be a function! - How to compare functions?

Correctness

Operational theory of improvement (Sands, 1990)

<u>Context</u> is an expression C with a hole [] in the place of a subexpression. C[e] - expression, where hole is replaced by e.

Equivalence - expressions e1 and e2 are equivalent, if for <u>any</u> context C evaluations of both C[e1] and C[e2] either converge or diverge.

An expression e2 is an <u>improvement</u> of an expression e1, if for any context C, if computation of C[e1] terminates using n function calls, then computation of C[e2] terminates using no more than n function calls.

Correctness

Operational theory of improvement (Sands, 1990)

Operational theory of improvement is a "standard" toll for proving correctness of program transformations:

- Higher-Order Deforestation (Сэндс, 1995)
- Call-by-value Higher-Order Supercompilation (Jonsson, 2008)
- Flattening is an Improvement (Riely, Prins, 2000)

•

The idea is to show that a transformed program is an improvement of an original program (and additional conditions hold).

Proof of correctness

Problems encountered:

- 1. The result of transformation performed by HOSC is not neccessary an improvement (may be good for analysis).
 - In order to prove correctness, we use supercombinators with maximal free expressions abstracted (Peyton Jones)
- 2. Decreasing arity of functions
 - We show correctness of transformation without decreasing arity and use correctness of lambda-dropping.
- 3. Typing: inferred type of transformed expression may be more general (No one pointed it out yet)
 - We use explicit type constraints in a residual program.

Klyuchnikov I.G. Supercompiler HOSC: proof of correctness. <u>KIAM Preprint</u> <u>№ 31</u>, Moscow, 2010.

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Proving equivalence of expressions

The basic idea



A.P. Lisitsa and M. Webster. Supercompilation for Equivalence Testing in Metamorphic Computer Viruses Detection. Proceedings of the First International Workshop on Metacomputation in Russia, 2008

Proving equivalence of expressions

The basic idea



It works only if SC preserves equivalence

(Multi-result supercompilation.)

Example: proof of equivalence (how to prove?)

```
data List a = Nil | Cons a (List a);
map = \f xs -> case xs of {
  Nil -> Nil;
  Cons y ys -> Cons (f y) (map f ys);
};
```

```
comp = \langle f g x - \rangle f (g x);
```

Proposition:

map f (map g xs) = map (comp f g) xs
(for any functions f and g, any list, even infinite one)

Example: proof of equivalence (normalizing by supercompilation!)



Proof of equivalence

Pros:

- <u>Non-terminating</u> calculations do not need special consideration
- Reasoning about infinite data
- Equivalence of <u>functions</u> may be prooved

```
Alternative approach:
equals(f(x), g(x)) => True
```

Ilya Klyuchnikov and Sergei Romanenko. Proving the Equivalence of Higher-Order Terms by Means of Supercompilation. In: *Proceedings of the Seventh International Andrei Ershov Memorial Conference: Perspectives of System Informatics*. LNCS 5947. 2009.

HOSC 0: Testing on examples

HOSC 0 proved only 6 of 25 simple equalities (from the first chapter)



HOSC 1: proven equivalences

HOSC 1, using extended homeomorphic embedding was able to prove 25 of 25 equivalences

```
concatR xs == foldR NilR catR xs
listR (cross (P f q)) (zipR xs ys)
    == uncurry zipR (cross (P (listR f) (listR g)) (P xs ys))
listR f xs == foldR NilR (\a x -> Cons (f a) x) xs
filterR p xs
    == listR outl (filterR outr (uncurry zipR (pair (P id (listR p)) xs)))
listL (listL f) (inits xs) == inits (listL f xs)
listR f (concatR xs) == concatR (listR (listR f) xs)
mult1 \mathbf{x} \mathbf{y} == \text{foldN Z} (\langle n - \rangle \text{ plus1 n } \mathbf{y} \rangle \mathbf{x}
mult1 x y == foldN Z (plus y) x
mult x y == foldN Z (plus x) y
plus1 x y == foldN y succ x
plus x y == foldN x succ y
filterR p xs ==
    foldR NilR (curry (cond (compose p outl) (uncurry cons) outr)) xs
filterR p xs == foldR1 NilR (cond (compose p outl) (uncurry cons) outr) xs
```

HOSC 1: proven equivalences

```
filterR p xs
== (compose (foldR NilR catR)
        (foldR NilR (\a x -> Cons ((cond p wrapR nilpR) a) x))) xs
filterR p xs ==
        (compose (foldR NilR catR) (listR (cond p wrapR nilpR))) xs
filterR p xs == (compose concatR (listR (cond p wrapR nilpR))) xs
lengthR xs == (compose sum (listR (\x -> S Z))) xs
lengthR xs == foldR Z (\a n -> (S n)) xs
appendL xs ys == foldL1 snoc xs ys
appendL xs ys == foldL id (\f x a -> snoc (f a) x) ys xs
appendR xs ys == foldR id (\x f a -> cons x (f a)) xs ys
appendL xs ys == foldR xs snoc ys
appendR xs ys == foldR ys cons xs
appendL (appendL xs ys) zs == appendL xs (appendL ys zs)
appendR (appendR xs ys) zs == appendR xs (appendR ys zs)
```

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Application: checking monadic laws

```
1. join (return a) k == k a
2. join m (\x -> join (k x) h) == join (join m k) h
3. join m return == id m
4. fmap (compose f g) xs == compose (fmap f) (fmap g) xs
5. fmap id xs == id xs
6. fmap f xs == join xs (compose return f)
7. join mzero f == mzero
8. bind v mzero == mzero
```

Application: checking monadic laws

Maybe monad

```
data Maybe a = Just a | Nothing;
compose = \langle f q x - \rangle f (q x);
return = \langle x - \rangle Just x;
fmap = \int fm \rightarrow case m of \{
     Nothing -> Nothing;
     Just x \rightarrow Just (f x);
};
join = \mbox{m k} \rightarrow \mbox{case m of} \{
     Nothing -> Nothing;
     Just x \rightarrow k x;
};
id = \mbox{m} \rightarrow case m of {
     Nothing -> Nothing;
     Just x \rightarrow Just x;
};
qid = \langle x - \rangle x;
bind = \mbox{m} k -> join m (\x -> k);
mzero = Nothing;
```

Application: checking monadic laws

Maybe Monad	List Monad	State Monad
1. +	1. +	1. +
2. +	2. +	2. +
3. +	3. +	3. +
4. +	4. +	4. +
5. +	5. +	5. +
6. +	6. +	6. +
7. +	7. +	7. +
8	8	8. +

Detecting "errors"



Residual program may supply useful information

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Base supercompiler:

```
def scp0(e) = {
    ...
if whistle(e1, e2)
    abstract(e1, e2)
    ...
}
```

The second-level supercompiler:

```
def scp1(e) = {
if whistle(e1, e2)
e3 = findEquiv(e1)
  if e3 != null
    replace(e1, e3)
  else
    abstract(e1, e2)
def findEquiv(e1) = {
  for c <- candidates(e1)</pre>
    if scp0(e1) == scp0(c)
       return c
  return null
}
```

The correctness of higher-level supercompilation

Theorem (Sands, 1996). When performing <u>fold/unfold</u> transformation it is safe to replace e1 by e2 if e2 is a strong improvement of e1.

If e2 if a strong improvement of e1, then (e1, e2) is an **improvement lemma**

Comparing costs of computations

Annotating a partial process tree



Propagating annotations into a residual program letrec f=*\v → case v of { Z → True; S p → *case p of { Z→ (letrec g = *\w → case w of { Z → False; S t → * case t of {Z → True; S z → g z;};} in g n; S x → f x;}; in f n

The correctness of higher-level supercompilation

Theorem (Klyuchnikov). Let

prog'1 - a residual expression for e1 prog'2 - a residual expression for e2

If prog'1 syntactically equivalent to prog'2 (ignoring annotations) and prog'2 is embedded into prog'1 by annotations then e2 is a strong improvement of e1 and it is correct to replace e1 на e2. when constructing a partial process tree.

Ilya Klyuchnikov and Sergei Romanenko. Towards Higher-Level Supercompilation. In: Second International Workshop on Metacomputation in Russia. 2010.

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Example 1. Accumulating parameter. Input.

```
data Bool = True | False;
data Nat = Z | S Nat;
even (double x Z) where
even = \x -> case x of { Z -> True; S x1 -> odd x1;};
odd = \x -> case x of { Z -> False; S x1 -> even x1;};
double = \x y ->
case x of { Z -> y; S x1 -> double x1 (S (S y));};
```

Example 1. Accumulating parameter.

```
Classical supercompilation:
letrec f=\w2 p2-> case w2 of {
  Z -> letrec g=\r2-> case r2 of {
    S r -> case r of {Z -> False; S z2 -> g z2;};
    Z -> True; } in g p2;
    S z -> f z (S (S p2)); } in f x Z
```

Higher-level supercompilation:

```
case x of {
    Z -> True;
    S y1 -> letrec f=\t2->
        case t2 of {Z -> True; S u2 -> f u2;}
    in f y1;
}
```

Example 2 - Non-linear expression. Input

```
data Bool = True | False;
data Nat = Z | S Nat;
```

or (even m) (odd m) where

even = $\langle x \rightarrow case x \text{ of } \{ Z \rightarrow True; S x1 \rightarrow odd x1; \};$ odd = $\langle x \rightarrow case x \text{ of } \{ Z \rightarrow False; S x1 \rightarrow even x1; \};$

or = $x y \rightarrow case x of { True -> True; False -> y; };$

Example 2 - Non-linear expression

Classical supercompilation:

```
letrec f = \v-> case v of { Z -> True;
    S p -> case p of { Z -> letrec g = \w->
    case w of {Z -> False;
    S t -> case t of {Z -> True; S z -> g z;});} in g m;
    S x -> f x;}; in f m
```

```
Higher-level supercompilation:
letrec f=\w-> case w of {
    Z -> True;
    S x -> case x of { Z -> True; S z -> f z;};
} in f m
```

Example 3 - Improving the asymptotic. Non-optimal parser. Complexity: O(n2)

data Symbol = A | B; data List a = Nil | Cons a (List a); data Option a = Some a | None;

match doublea word where

```
match = \p i -> p (eof return) i;
return = \x -> Some x;
doublea = or nil (join a (join doublea a));
or = \p1 p2 next w -> case p1 next w of { Some w1 -> Some w1;
    None -> p2 next w;};
nil = \next w -> next w;
join = \p1 p2 next w -> p1 (p2 next) w;
a = \next w -> case w of { Nil -> None;
    Cons s w1 -> case s of { A -> next w1; B -> None;};};
b = \next w -> case w of { Nil -> None;
    Cons s w1 -> case s of { A -> next w1; B -> next w1;};};
eof = \next w -> case w of { Cons s w1 -> next w1;};
```

Example 3 - Improving the asymptotic. Classical supercompilation: - complexity: O(n2)

```
case word of {
          Cons y9 t5 ->
                     case word of { Cons w13 w9 \rightarrow
                                          case w13 of {
                                                    A -> (letrec f=(r21-> (s21-> case r21 of { Cons r3 y5 ->
                                                              case r3 of { A \rightarrow case (s21 y5) of { Some z7 \rightarrow (Some z7);
                                                                                                                                                    None ->
                                                                                                                                                               ((f y5)
                                                                                                                                                                          (\selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selength{selen}selength{selen}ength{selength{selength
                                                                                                                                                                                 case s8 of {
                                                                                                                                              Cons z5 s18 -> case z5 of { A -> (s21 s18); B -> None; };
                                                                                                                                                                                             Nil -> None;
                                                                                                                                                                                    }));
                                                                                                                                         };
                                                                                                                              B -> None;
                                                                                                                     }; Nil -> None; }))
                                                               in
                                                                        ((f w 9) (\langle v16 \rangle case v16 of {Cons t6 w2 -> None; Nil -> (Some Nil); }));
                                                     B -> None;
                                          }; Nil -> None;
                    };Nil -> (Some Nil);
}
```

Example 3 - Improving the asymptotic. Higher-level supercompilation. Complexity: O(n)

```
letrec
f=(\s14->
    case s14 of {
        Cons z12 y8 ->
        case z12 of {
            A -> case y8 of {
             Cons s3 s2 -> case s3 of { A -> (f s2); B -> None; };
             Nil -> None; };
             B -> None; };
             Nil -> (Some Nil);
        }
in
        f word
```

Example 3 - Improving the asymptotic

```
Input:
```

```
p = a p a | empty.
```

```
Output:
p' = a a p' | empty.
```

Equivalence of grammars is shown! The general idea:

- Coding knowledge as a program.
- Analysis of a program by transformations.
- Result: inference and proving of properties of objects being modeled

Theorem (Sørensen, 1994). Classical positive supercompiler for a call-by-name language cannot improve the asymptotic of a program.

We have shown that a higher-level supercompiler is able to improve he asymptotic of a program.

Outline

Program analysis by transformation

 Problem definition

 What is supercompilation
 Supercompiler HOSC
 Detecting equivalent expressions

 Checking correctness of monads

 Higher-level supercompilation

 Detecting improvement lemmas
 Examples of higher-level supercompilation

 On the equivalence of abstract machines

Two approches:

- State-transition function together with a 'driver loop'.
- Collection of mutually tail-recursive transition functions mapping a given configuration to a final state.

Olivier Danvy, Kevin Millikin. On the equivalence between small-step and big-step abstract machines: a simple application of lightweight fusion. Information Processing Letters. Volume 106, Issue 3 (April 2008).

CEK-machine: definitions

```
data Nat = Z | S Nat;
data List a = Nil | Cons a (List a);
data Term = Var Nat | Lam Term | App Term Term;
data Val = Clo Term (List Val);
data RC = RCO | RC1 RC Term (List Val) | RC2 Val RC;
data Conf = Eval Term (List Val) RC | Apply RC Val;
data State = Final Val | Inter Conf;
lookup = \i env ->
case env of {
    Cons n env1 ->
case i of {
        Z -> n;
```

```
S i1 -> lookup i1 env1;
};
};
```

CEK-machine: small-step semantics

```
drive (Inter (Eval t Nil RCO)) where
move = \setminus conf \rightarrow case conf of {
  Eval t e c ->
    case t of {
      Var i -> Inter (Apply c (lookup i e));
      Lam t0 -> Inter (Apply c (Clo t0 e));
      App t0 t1 -> Inter (Eval t0 e (RC1 c t1 e)); };
  Apply c v ->
    case c of {
      RC0 \rightarrow Final v;
      RC1 c1 t1 e \rightarrow Inter (Eval t1 e (RC2 v c1));
      RC2 v1 c1 \rightarrow case v1 of {
        drive = \state -> case state of {
  Final v \rightarrow v;
  Inter conf -> drive (move conf);};
```

CEK-machine: big-step semantics

```
eval t Nil RCO where
eval = \ t e c ->
  case t of {
    Var i -> apply c (lookup i e);
    Lam t1 -> apply c (Clo t1 e);
    App t0 t1 \rightarrow eval t0 e (RC1 c t1 e);
  };
apply = \langle t v - \rangle
  case t of {
    RC0 \rightarrow v;
    RC1 c1 t1 e1 \rightarrow eval t1 e1 (RC2 v c1);
    RC2 v1 c1 \rightarrow case v1 of {
       Clo t2 e2 \rightarrow eval t2 (Cons v e2) c1;
    };
  };
```

Danvy, Millikin:



Normalization by supercompilation



Mind-map of methods



Contribution

- The algorithm of higher-order supercompilation is developed. This algorithm takes into account properties of bound variables:
 - The homeomorphic embedding relation was extended.
 - A new algorithm of generalization of coupled expressions.
 - Proof of termination, proof of correctness.
- A method of higher-level supercompilation (capable to improve the asymptotic behavior of programs):
 - The algorithm for detecting the equivalence of higher-order expressions.
 - The algorithm for detecting improvement lemmas
- Applicability of suggested methods to program analysis by supercompilation was demonstrated.

Publications

- 1. Илья Ключников, Сергей Романенко. SPSC: Суперкомпилятор на языке Scala. // Программные продукты и системы. 2009. №2 (86)
- 2. Ilya Klyuchnikov and Sergei Romanenko. SPSC: a Simple Supercompiler in Scala. In: International Workshop on Program Understanding 19-23 June, Altai Mountains, Russia. 2009
- 3. Ilya Klyuchnikov and Sergei Romanenko. Proving the Equivalence of Higher-Order Terms by Means of Supercompilation. In: Proceedings of the Seventh International Andrei Ershov Memorial Conference: Perspectives of System Informatics. LNCS 5947. 2009.
- Ilya Klyuchnikov and Sergei Romanenko. Towards Higher-Level Supercompilation. In: Second International Workshop on Metacomputation in Russia. 2010

Preprints

- 1. Klyuchnikov I.G. Supercompiler HOSC 1.0: under the hood KIAM Preprint № 63, Moscow, 2009.
- 2. Klyuchnikov I.G. Supercompiler HOSC 1.1: proof of termination. <u>KIAM Preprint № 21</u>, Moscow, 2010.
- 3. Klyuchnikov I.G. Supercompiler HOSC: proof of correctness. <u>KIAM Preprint № 31</u>, Moscow, 2010.